

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re: Attorney Docket No. 1038.002

In re application of: Christopher R. Hall and Joseph L. Welsh

Serial No: Not yet assigned  
Filed: Not yet assigned

Art Unit: Not yet assigned  
Examiner: Not yet assigned

For: Method and System for Enabling Workers to Communicate Anonymously with Their Employers

JC996 U.S. PRO  
09/91041  
07/23/01



**INFORMATION DISCLOSURE STATEMENT**

Hon. Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In accordance with 37 CFR § 1.56, this is an Information Disclosure Statement (IDS) for the above-captioned U.S. patent application. An IDS Form PTO-1449 listing references is enclosed herewith and forms a part hereof.

**Certification Under 37 CFR § 1.97(e)**

[ ] Each item of information contained in this statement was either (1) cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement or (2) not known to any individual designated in § 1.56(c) more than three months prior to the filing of this statement.

**Fee Under 37 CFR § 1.97(c)**

[ ] The fee for submission of an IDS under § 1.97(c) of \$180.00 set forth in § 1.17(p) accompanies this statement.

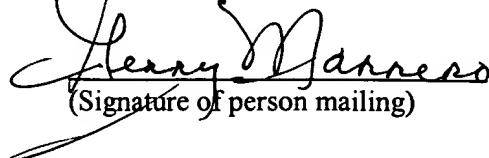
\* \* \* \*

**Certification Under 37 CFR 1.10**

"Express Mail" Mailing Label No. EL875316836US Date of Deposit July 23, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service's "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Gerry Marrero  
(Name of person mailing)

  
(Signature of person mailing)

Petition Under 37 CFR § 1.97(d)

[ ] This is a petition requesting consideration of an IDS under § 1.97(d). The petition fee of \$130.00 set forth in § 1.17(i)(1) accompanies this petition.

Provision of Copies of References

[X] A copy of each of the listed references is enclosed herewith and forms a part hereof.

[ ] A translation of each of Reference(s) \_\_ is enclosed herewith and forms a part hereof.

[ ] A copy of the International Search Report from a corresponding PCT application is enclosed herewith.

[ ] The above-captioned U.S. patent application is a

[ ] continuation

[ ] divisional

[ ] continuation-in-part

application of U.S. application serial number \_\_\_, filed on \_\_\_ as Attorney Docket No. \_\_\_. Each of the listed references was previously cited by or submitted to the Office in the prior application. The above-captioned U.S. patent application relies upon the prior application for an earlier filing date under 35 U.S.C. 120. Pursuant to § 1.98(d), copies of the listed references are not being provided with this IDS.

Authorization To Charge Deposit Account

[X] Authorization is given to charge Deposit Account 50-0782 for any fee deficiency under § 1.97(c) and § 1.17(p).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449 form as confirmation that each of the documents has been considered.

Respectfully submitted,

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Enclosures

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Rev. 2-32) PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

**SERIAL NO.**

1038.002

1038.002  
**APPLICANT**

Not yet assigned

**Christopher R. Hall and Joseph L. Welsh**  
**FILING DATE** **GROUP**

Not yet assigned

Not yet assigned

FOREIGN PATENT DOCUMENTS.

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

**EXAMINER** \_\_\_\_\_ **DATE CONSIDERED** \_\_\_\_\_

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449)